

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John S. Yates, Jr., et al.

Serial No.: 09/239,194

Filed: January 28, 1999

Art Unit: 2151

Examiner: Van H. Nguyen

EXECUTING PROGRAMS FOR A FIRST COMPUTER ARCHITECTURE ON
A COMPUTER OF A SECOND ARCHITECTURE

INFORMATION DISCLOSURE STATEMENT

COMMISSIONER FOR PATENTS

Washington, D.C. 20231

RECEIVED

JAN 06 2003

Technology Center 2100

1. In accordance with 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant wishes to make of record the enclosed items, as listed on the accompanying Form PTO-1449. Applicant respectfully requests the Examiner to fully consider the items and independently ascertain their teaching before issuance of the next action, and to make them of record in the file. The Examiner is also requested to initial and return a copy of the enclosed Form PTO-1449 to evidence such consideration.

2. Applicant has listed publication dates on the attached Form PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated. Applicant reserves the right to establish the patentability of the claims over any information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This Information Disclosure Statement should not be construed as a representation that information more material to the examination of this application does not exist.

3. This Information Disclosure Statement is being filed, to the knowledge of the undersigned, before the mailing date of a first Office Action on the merits. No fee is due, pursuant to 37 C.F.R. §1.97(b)(3).

4. The Commissioner is hereby authorized to charge any additional fees that may be required for this Information Disclosure Statement, or credit any overpayment, to Deposit Account 50-0675, Order No. 005231.05-4013.

Respectfully submitted,

SCHULTE ROTH & ZABEL LLP

Dated: December 31, 2002

By: 

David E. Boundy

Registration No. 36,461

CORRESPONDENCE ADDRESS:

SCHULTE ROTH & ZABEL LLP

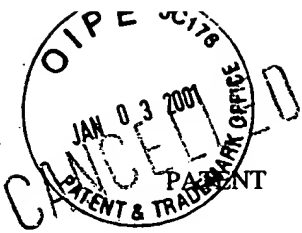
919 Third Avenue

New York, New York 10022

(212) 756-2522

(212) 593-5955 Facsimile

Best Available Copy



Attorney Docket No. 005231.05-4013

21
#2
m
1-5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John S. Yates, Jr., et al.
Serial No.: 09/239,194
Filed: January 28, 1999
Title: EXECUTING PROGRAMS FOR A FIRST COMPUTER ARCHITECTURE ON A COMPUTER OF A SECOND ARCHITECTURE

Art Unit: 2151
Examiner: Van H. Nguyen



CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

RECEIVED

JAN 06 2003

Technology Center 2100

I hereby certify that the attached

- Return postcard
- This Certificate of Mailing
- Information Disclosure Statement and Form PTO-1449
- 13 references

along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,

SCHULTE ROTH & ZABEL

Dated: December 31, 2002

By: David E. Boundy
David E. Boundy
Registration No. 36,461

Mailing Address:
SCHULTE ROTH & ZABEL
919 Third Avenue
New York, New York 10022
(212) 756-2000
(212) 593-5955 Telecopier

Best Available Copy